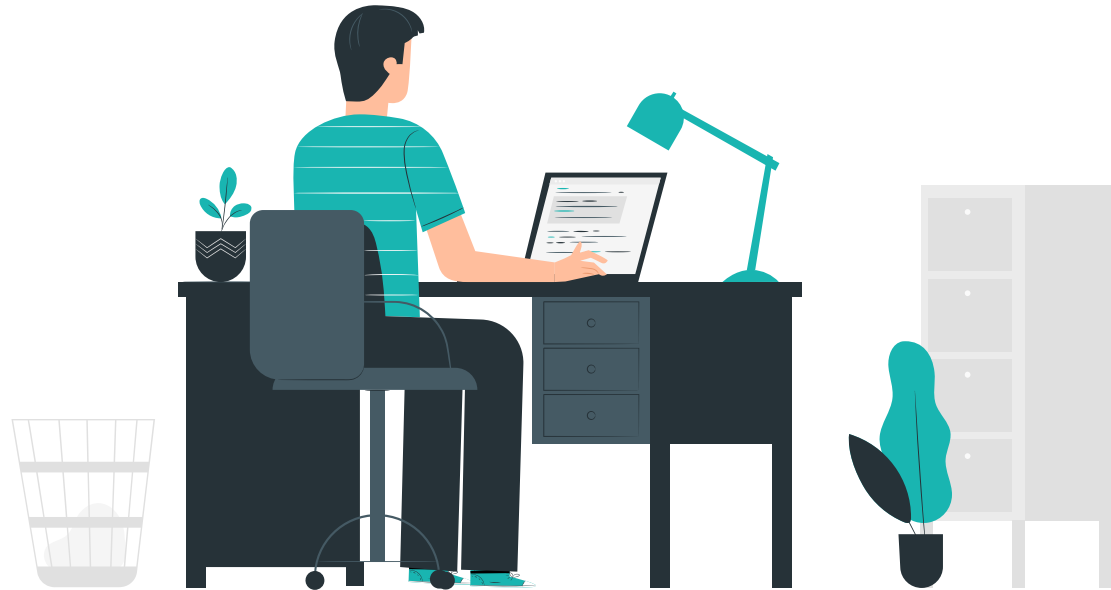


Registered Reports: Why, What, & How

Melissa Thye
PPLS Open Research
3rd March 2023



Why registered reports

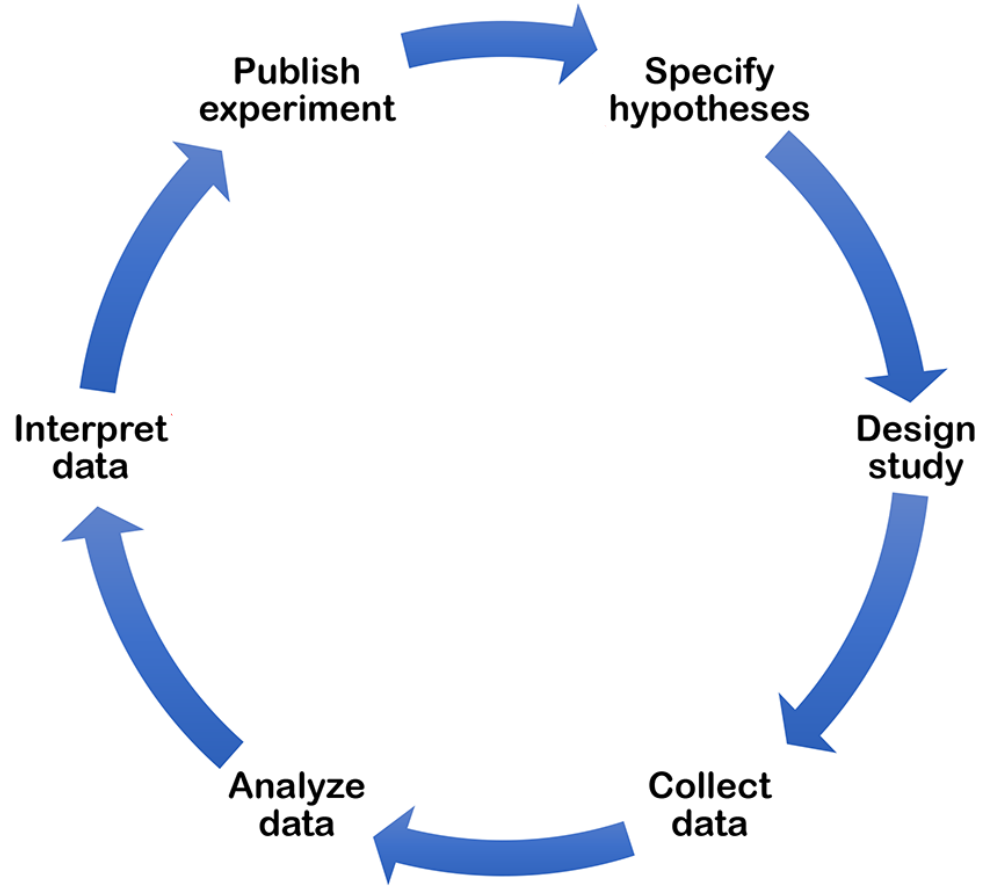


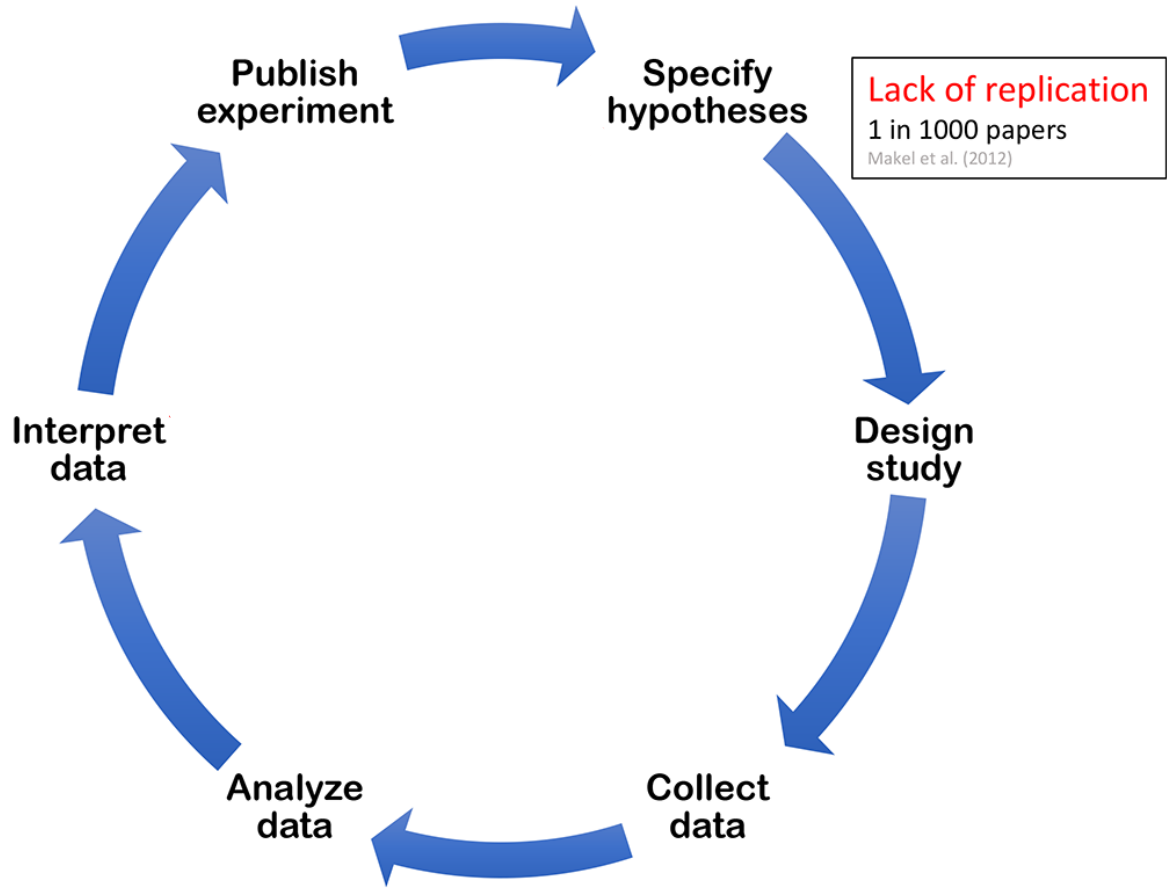
File Drawer Problem (*Publication Bias*)

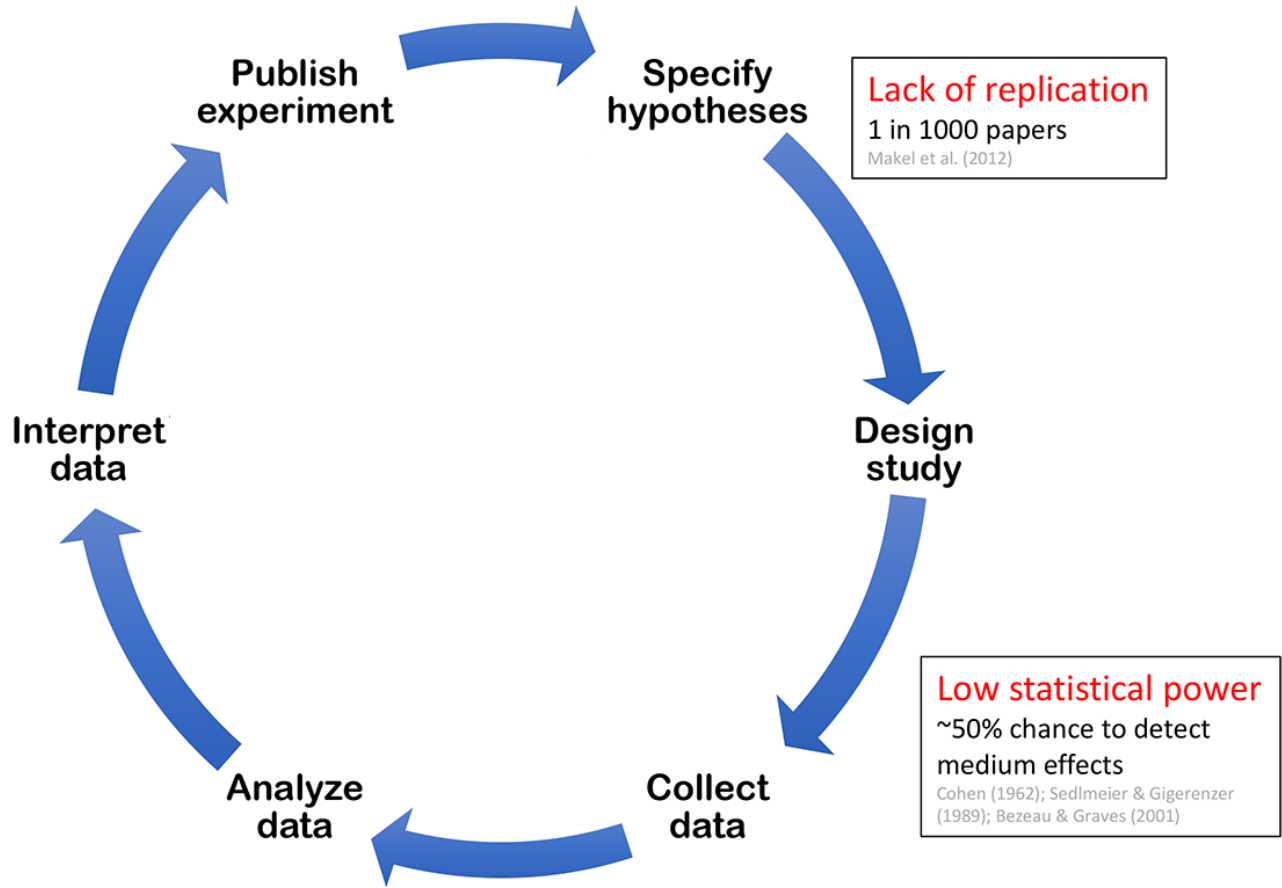
- Selective reporting of findings based on experiment outcome
- Tendency to publish positive (statistically significant) results
- Negative (non-significant) results are put into the file drawer and are unknown to other researchers
- Limits utility of meta-analytic approaches

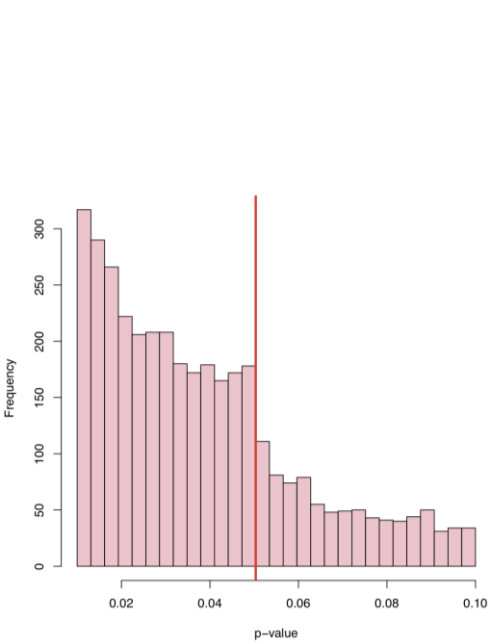
Why?

- Rejection by journals, editors, or reviewers
- Emphasis on novelty
- Competing interests
- Viewed as a “failed” experiment

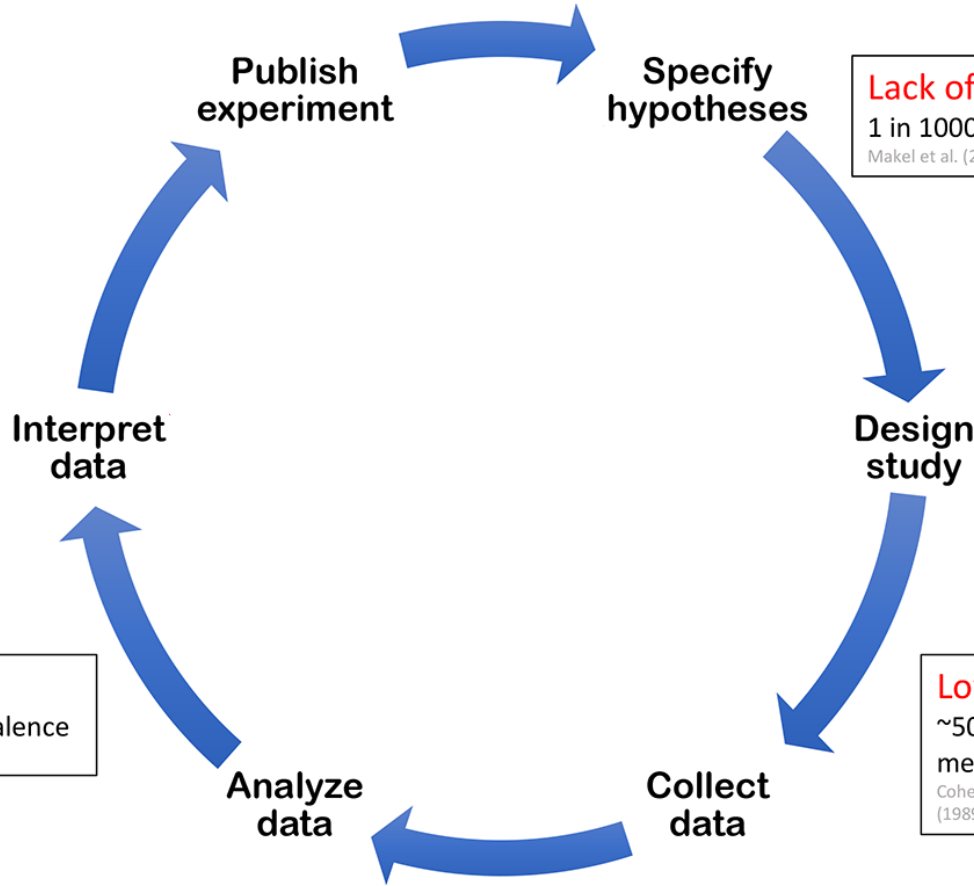






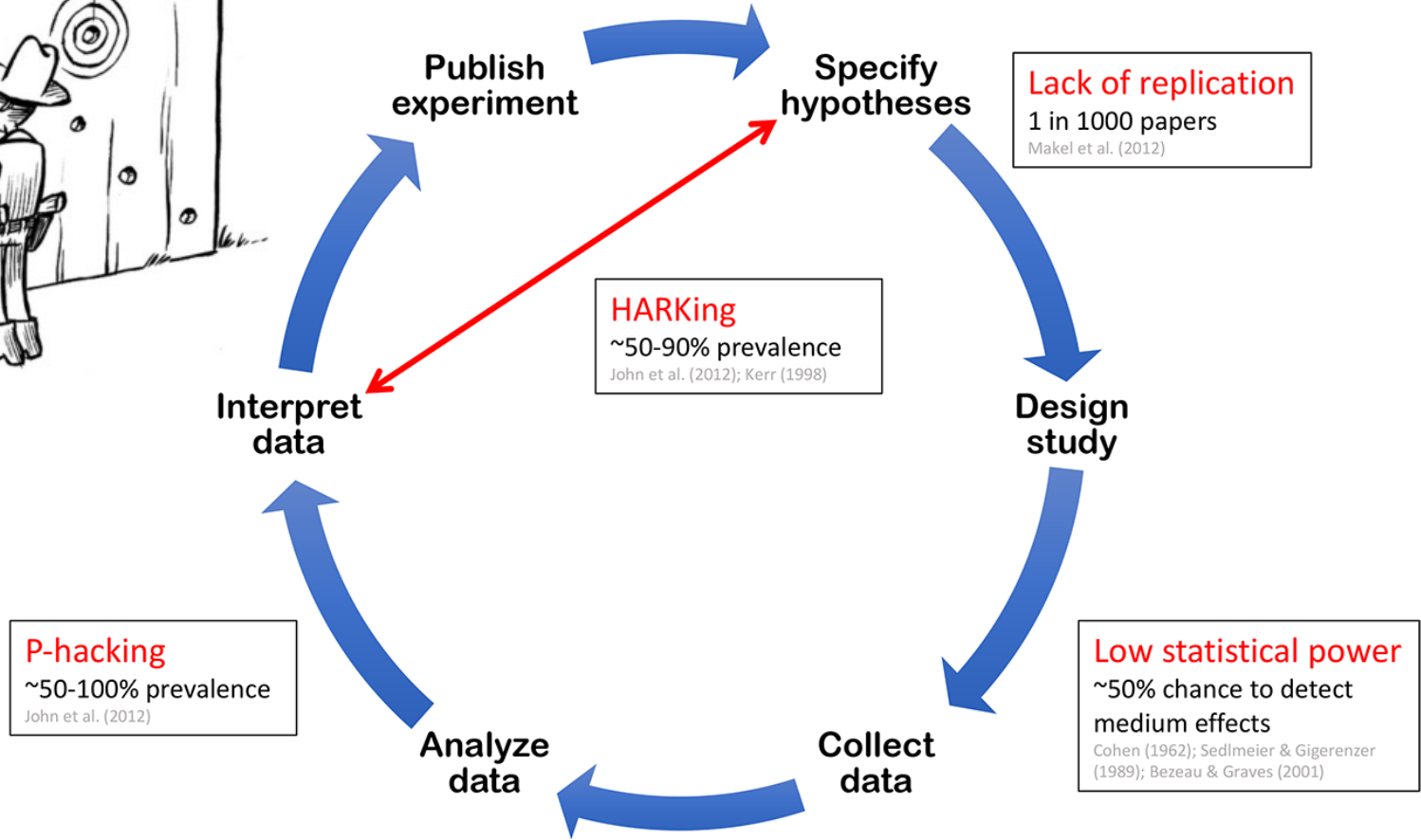


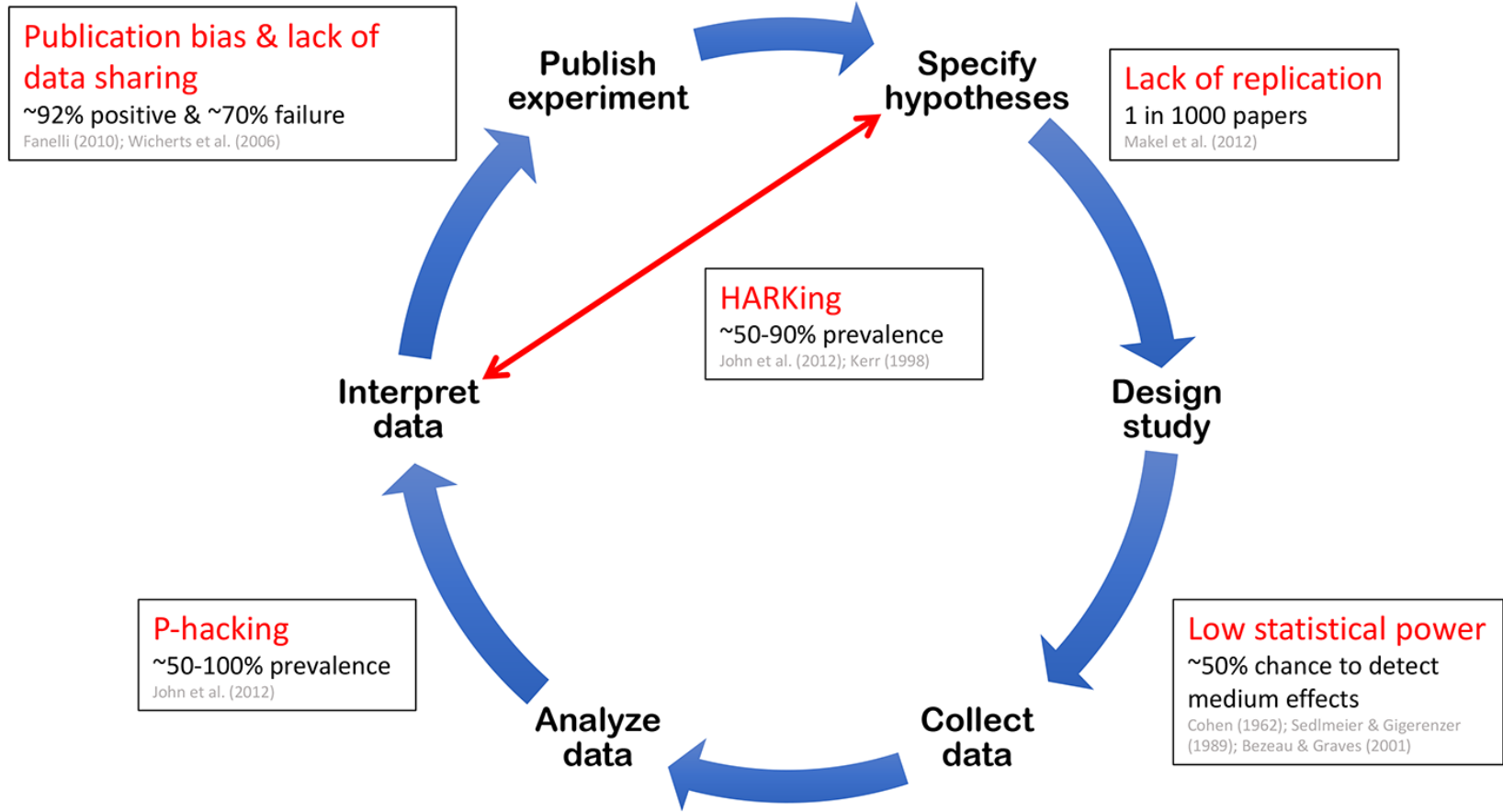
P-hacking
 ~50-100% prevalence
 John et al. (2012)



Lack of replication
 1 in 1000 papers
 Makel et al. (2012)

Low statistical power
 ~50% chance to detect
 medium effects
 Cohen (1962); Sedlmeier & Gigerenzer
 (1989); Bezeau & Graves (2001)





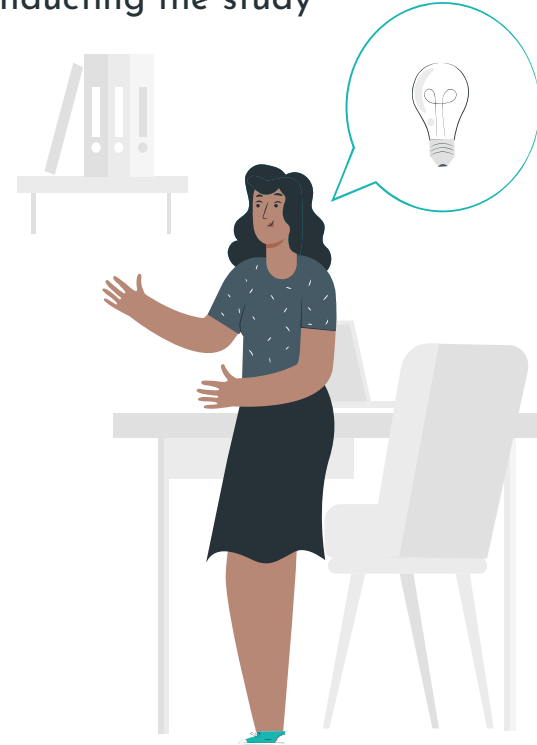
What are registered reports

Pre-registration

Submitting a study plan (often including analysis plan) to a registry before conducting the study

- Increases transparency of planned versus exploratory analyses
- Reduces HARKing & P-hacking
- Time-stamped (addresses scooping concerns)

But what happens when the results are hard to publish?

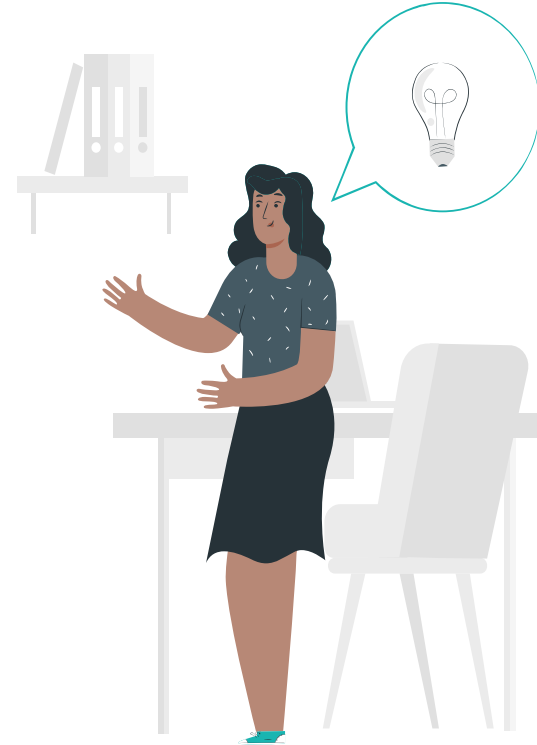


What are registered reports

Registered Reports

A peer-reviewed preregistration

- Provide sufficient detail for an independent researcher to replicate study and analysis plan
 - Over 300 journals currently accept Registered Reports
1. Peer review occurs before outcomes are known
 2. In-principle acceptance (IPA) will not be revoked based on outcomes



Traditional Peer Review

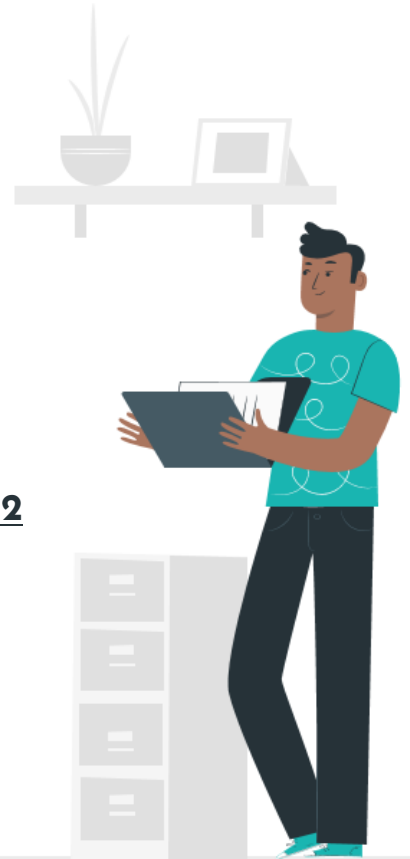


Registered Report Review



How to do registered reports

- Primary data collection
- Secondary data analyses
 - Additional constraints on prior data access
- Systematic reviews + meta-analyses
- Allows for exploratory analyses **but not at Stage 1**
 - Any analysis that cannot be planned precisely should be withheld until **Stage 2**
 - Be clear which analyses were pre-registered and which were exploratory
 - Allows others to weigh the evidence appropriately



Can you answer these 10 questions....

1. What is the main question being addressed in your study?
2. Can you describe the key independent and dependent variable(s), specifying how they will be measured?
3. What are your hypotheses?
4. How many and which conditions will participants/samples be assigned to?
5. How many observations will be collected and what rule will you use to terminate data collection?
6. What are your study inclusion criteria?
7. What are your data exclusion criteria?
8. What positive controls or quality checks will confirm that the obtained results are able to provide a fair test of the stated hypothesis?
9. Can you specify exactly which analyses you will conduct to examine the main question/hypotheses?
10. Are you proposing to collect new data or analyse existing data?

...then you're ready to do a Registered Report!

<https://osf.io/93znh>

What to Include in a Registered Report

Introduction

- Review relevant literature
- Describe research questions & hypotheses

Methods

- Ethics information
- Pilot data (if applicable)
- Study design (all experimental procedures)
- Sampling plan
 - Power analysis
 - Inclusion/exclusion criteria
 - How outliers will be handled
- Analysis plan
 - All planned analysis (no exploratory analysis)

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Data/Code Availability

Design Table



Hypotheses, predictions, and interpretations

GOAL: limit space of potential post-hoc interpretation

- Hypotheses should be testable and concrete
- Describe how they will be tested
- Describe how possible outcomes will be interpreted



Power analyses and sample size

GOAL: minimize false positives and negatives

- Estimate expected effect size
- Determine required sample size with power analysis
- Identify critical tests that will be used to test predictions



Reproducible methods and exclusion criteria plan

GOAL: control experimenter degrees of freedom

- Explicitly define variables
- Exhaustively describe inclusion and exclusion criteria
- Could someone repeat the study based on the description?

Is the proposed protocol doable?

- Could a simpler design address the same question?
- Is every criterion well-motivated?
- Is sample size practical?

Design Table

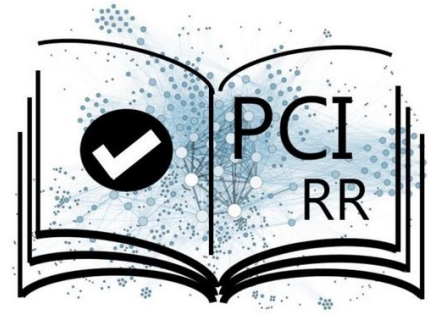
Question	Hypothesis	Sampling Plan	Analysis Plan	Interpretation given to different outcomes
RQ 1				
RQ 2				
...				

- Gives readers an overview of analysis plans and predictions
- Number of rows will depend on number of research questions (RQ)
- Ensure exact correspondence between each hypothesis and statistical test
 - **NOT** acceptable: “Condition A will affect performance differently than Condition B”
 - Instead define: **(1)** the performance measure (e.g., RT), **(2)** the predicted direction of the difference, and **(3)** the anticipated effect sizes or smallest effect size of interest (if possible)

Peer Community In Registered Reports

A **community, not a journal** → manages peer review of Registered Report preprints

- The review process is managed by accredited recommenders (i.e., editors)
- Peer review occurs independent of journal
 - Decide which journal to publish in after Stage 2 recommendation
 - Currently 28 PCI RR-friendly journals
- Peer reviews published for increased transparency
- Offer scheduled review
 - Reduces Stage 1 review from weeks to days

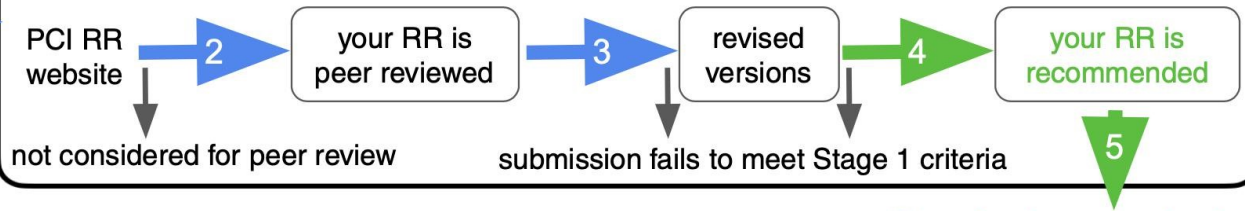


*Free and transparent pre- and post-study
recommendations across research fields*

Submit your RR to PCI RR as a private or public URL to a file in a repository (e.g. OSF, GitHub)

PDF
html
doc
rmd

PCI RR process Stage 1

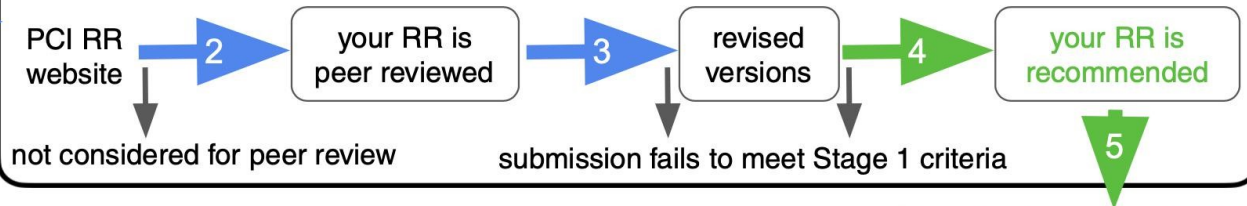


Conduct your study

Submit your RR to PCI RR as a private or public URL to a file in a repository (e.g. OSF, GitHub)

PDF
html
doc
rmd

PCI RR process Stage 1



Conduct your study

Preprint server (OSF preprints, arXiv, bioRxiv)

deposit preprint at preprint server

PDF
v1

revised versions

PDF
v2

PDF
v3

Recommended, peer reviewed preprint

PDF
vn

Valid, citable final article
AND
can still be submitted to a journal

Optional: submit to PCI RR-friendly journal where article is accepted without further peer review

Submit preprint to PCI RR

PCI RR process Stage 2

preprint assessed by recommender and reviewers

8

your preprint is recommended

Citable recommendation text + reviews published by PCI (doi)

PDF


- Open access
- Free for authors and readers
- Searchable

submission fails to meet Stage 2 criteria


Pros



Reduces publication bias




Pre-study review when feedback is most useful




Acceptance after IPA regardless of results



Beneficial for PhD students & ECR



Increases credibility of study results



Easier write-up after IPA (work frontloaded)

Cons



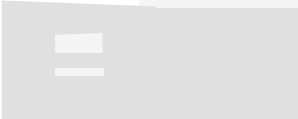
Stage 1 IPA can take awhile




Not all journals accept secondary data analyses




Journal policies, timelines, editing requirements vary



Not guaranteed to get useful reviews or informed reviewers



Challenging when proposing new (to you) analyses

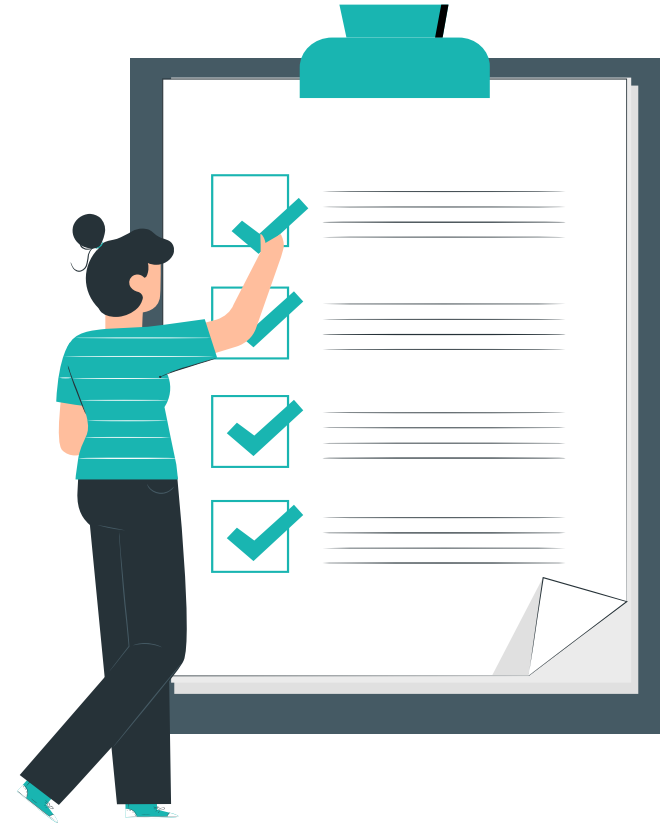


Significant workload shift to start of project

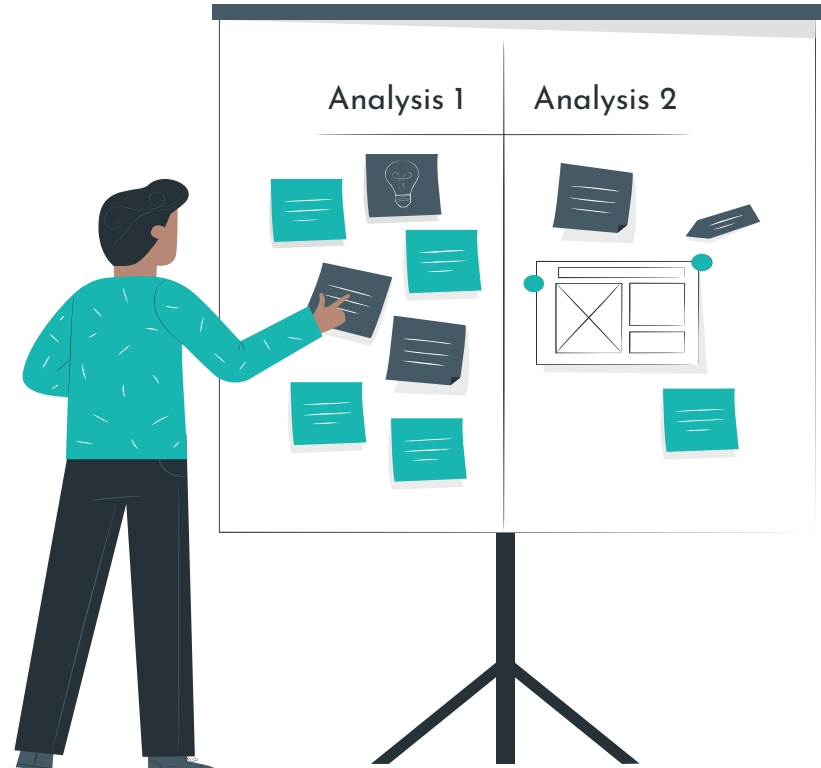
Approaches to registered reports

Familiar Territory

- Confident proposing study design or methods before implementation
- Anticipate possibility of null or complex results
- Guaranteed to be added to scientific record
- Reviewer feedback is more about interpretation of outcomes and threshold for significance
- Everyone agrees with what will count as evidence for an effect



Approaches to registered reports



Unfamiliar Territory

- Less confidence in study design, methods or approach
- Anticipate that the results might be hard to publish
- Reviewer feedback might help ensure that the methods actually test the research question
- Useful for students & ECR who might be less familiar with methods
 - Thesis proposal model → hear from several experts before starting study
- Great chance to get feedback which many students want earlier in the process

Resources to get started

- Pre-Registration Templates: <https://osf.io/zab38/>
- Registered Report criteria across journals: https://docs.google.com/spreadsheets/d/1D4_k-8C_UENTRtbPzXfhjEyu3BfLxdOsn9j-otrO870/edit#gid=0
- Registered Report Checklist: <https://osf.io/93znh>
- Zotero library of published Registered Reports: <https://www.zotero.org/groups/479248/osf/collections/KEJP68G9>
- Webinars (including pre-registering qualitative research): <https://www.cos.io/events>